

Nov. 16. 2005 11:35AM

No. 6222 P. 1

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
700725-1003

In Re Application Of: Doug A. Kaufmann

| Application No. | Filing Date | Examiner | Customer No. | Group Art Unit | Confirmation No. |
|-----------------|-------------|----------|--------------|----------------|------------------|
| 10/798,691      | 3/11/04     |          | 38406        | 1614           | 6201             |

Title: METHOD OF TREATING AND PREVENTING DIABETES

Address to:  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**37 CFR 1.97(b)**

- The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

- The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:
  - the statement specified in 37 CFR 1.97(e);
  - OR

 the fee set forth in 37 CFR 1.17(p).

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(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

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No. 6222 P. 3

INFORMATION DISCLOSURE CITATION  
(Use several sheets if necessary)

|   |                                  |
|---|----------------------------------|
| Docket Number (Optional)<br>700725-1003 | Application Number<br>10/798,691 |
| Applicant(s)<br>Doug A. Kaufmann        |                                  |
| Filing Date<br>3/11/04                  | Group Art Unit<br>1614           |

U.S. PATENT DOCUMENTS

| EXAMINER INITIAL | REF | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
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|                  |     |                 |      |      |       |          |                            |
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|                  |     |                 |      |         |       |          |             |    |
|                  |     |                 |      |         |       |          |             |    |

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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| EXAMINER | DATE CONSIDERED |
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